



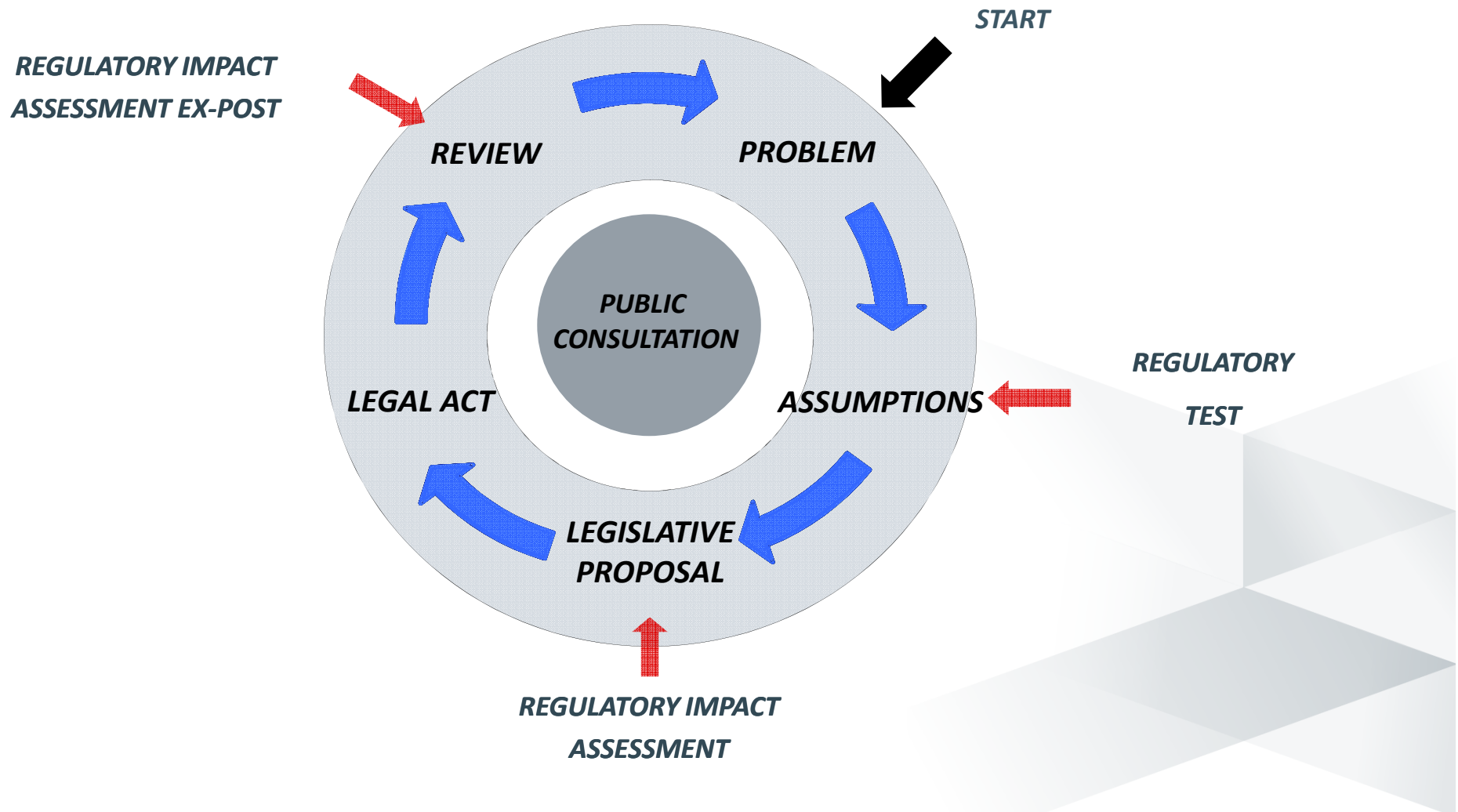
MINISTRY  
OF  
ECONOMY



# *"Standardized forms of impact assessment in the Polish legislative process"*

DEBR, Rome, 18th September 2014

# Impact assessment forms in the legislative process - policy cycle



## *Impact assessment forms – key information*



- **Introduced** on 1st January 2014 with new Rules of Government Works
- **„Formal purposes“**: standarization, transparency
- **Material purposes: stimulate**
  - qualitative analysis
  - quantitative analysis
- **Comprehansive Guide**



## *Standardised forms*



- **REGULATORY TEST**
- **REGULATORY IMPACT ASSESSMENT**
- **EX-POST EVALUATION**



## **Regulatory Test Form (RT) - background**



- **What problem is solved?**
- **Recommended solutions, measures of intervention and expected effects**
- **Alternatives considered**
- **EU context (overimplementation, purely domestic concepts)**
- **Other EU / OECD countries**



## **Regulatory Test Form (RT) - analysis**



- **Potential impact**
- **Scope, duration and consultation**
- **Change in regulatory burden**
- **Costs and benefits within next 10 years: monetary and non-monetary predictions**
- **Planned measures of implementation**
- **Evaluation**



## **Regulatory Test Form (RT) - Change in regulatory burden**



*Reduction or Increase (check box)*

- **Number of documents**
- **Number na procedures**
- **Shortening of time of solving the matter**



# Regulatory Test Form (RT)



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problem & objectives  
alternatives  
international best practice  
stakeholders

regulatory burdens

costs:  
monetary  
non-monetary  
unmeasurable

Bill title		Date						
Leading ministry and collaborative ministries		Source:						
Minister/secretary of state/Under secretary of state responsible for the bill		Expose Premier						
Merits-related patron's contact details		No in working plan .....						
<b>REGULATORY TEST</b>								
1. What problem is being solved? (100 words max)								
2. Recommended solutions, incl. measures of intervention and expected effects (100 words max)								
3. What other possible solutions, including non-legislative measures, were considered? Why weren't they implemented?								
4. If there is an implementation of the EU regulations, what additional solutions, except of those strictly required by the EU, are being implemented?								
5. How was the problem solved in other countries, especially in the EU/OECD member states?								
6. Potential bill's impact over subjected groups/entities.								
Group (add/delete)	Size	Data source	Impact					
(add/delete)								
7. Information on spectrum, duration and results of the bill consultation.								
8. Change in the regulatory burdens resulting from recommended solution (incl. informational obligation)								
<input type="checkbox"/> not applicable		<input type="checkbox"/> increase in the number of documents						
<input type="checkbox"/> reduction in the number of documents		<input type="checkbox"/> increase in the number of procedures						
<input type="checkbox"/> shorter time of solving the matter		<input type="checkbox"/> longer time of solving the matter						
<input type="checkbox"/> other: _____		<input type="checkbox"/> other: _____						
Regulatory burdens introduced are adjusted to electrification		<input type="checkbox"/> yes						
		<input type="checkbox"/> no						
		<input type="checkbox"/> n/a						
Comments:								
9. Impact analysis results								
		Costs within 10 years from implementing the change						
		0	1	2	3	5	10	Total (0-10)
Monetary depiction (mln PLN, fixed prices)	State budget							
	local government authorities							
	Other public institutions							
	companies (incl. SMEs)							
	Family, citizens and households							
(add/delete)								
Non-monetary depiction	State budget							
	local government authorities							
	Other public authorities							
	companies (incl. SMEs)							
	Family, citizens and households							

		households							
		Labour market							
		(add/delete)							
Non-measurable		(add/delete)							
		(add/delete)							
		Benefits within 10 years from implementing the change							
			0	1	2	3	5	10	Ł. szmia (0-10)
Monetary depiction (mln PLN, fixed prices)	State budget								
	local government authorities								
	Other public institutions								
	companies (incl. SMEs)								
	Family, citizens and households								
(add/delete)									
Non-monetary depiction	State budget								
	local government authorities								
	Other public institutions								
	companies (incl. SMEs)								
	Family, citizens and households								
(add/delete)									
Labour market									
(add/delete)									
Not measurable		(add/delete)							
		(add/delete)							
		Data sources and calculation assumptions							
		10. The planned implementation of a legal instrument							
		11. When and how the results of the bill are to be measures and what indicators are going to be used?							
		12. Attachments (important source documents, research results, analysis etc.)							

benefits:  
monetary  
non-monetary  
unmeasurable


implementation & evaluation

- RT contains early IA
- RT is obligatory to all submissions to the Gov Work Programme
- On basis of RT The Gov Programming Board indicates key projects with obligatory in-depth analysis



## ***Regulatory Impact Assessment Form (RIA) - I***



- **Impact on public finances within next 10 years (central, local, others)**
  - **Impact on competition, business (big and SMEs), family, citizens and households**
  - **Change in regulatory regulatory burden (check box)**
  - **Impact on labour market (description)**
- 

## **Regulatory Impact Assessment Form (RIA) - II**



- **Impact in other areas** (check box and description)
  - environment
  - regions
  - demographics
  - state assets
  - IT
  - health
  - other (what?)



# Regulatory Impact Assessment Form (RIA)



Bill title	Date
Leading ministry and collaborative ministries	Source: Expose Premiers
Minister/secretary of state/Undersecretary of state responsible for the bill	No in working plan .....
Merits-related patron's contact details	
IMPACT ASSESSMENT	
1. What problem is being solved?	
2. Recommended solutions, incl. measures of intervention and expected effects	
3. How was the problem solved in other countries, especially in the EU/OECD member states?	
4. Bill's impact over subjected groups/entities	
Group	Size
(add/delete)	Data source
(add/delete)	Impact
5. Information on spectrum, duration and results of the bill consultation.	
6. Impact on the public finance sector	
Fixe prices	Results within 10 years from implementing the change
	0 1 2 3 4 5 6 7 8 9 10 Total (0-10)
Total income	
State budget	
Local government authorities	
Other public organizations	
Total expenses	
State budget	
Local government authorities	
Other public organizations	
Total balance	
State budget	
Local government authorities	
Other public organizations	
Financing sources	
Additional information, incl. data sources and calculation assumptions	
7. Impact on competition and entrepreneurship, incl. impact on family, citizens and households.	
Years since introducing the change	Results within 10 years from implementing the change
	0 1 2 3 5 10 Total (0-10)
Monetary depiction (mln PLN, fixed	Big companies
	SMEs
	Family, citizens and households

more in-depth analysis of impact

prices)	(add/delete)
Non-monetary depiction	Big companies
	SMEs
	Family, citizens and households
	(add/delete)
Non measurable	(add/delete)
Additional information, incl. data sources and calculation assumptions	
8. Change in the regulatory burdens resulting from recommended solution (incl. informational obligation)	
<input type="checkbox"/> not applicable	
Are there additional burdens introduced, except of those strictly required by the EU?	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> n/a
<input type="checkbox"/> reduction in the number of documents	<input type="checkbox"/> increase in the number of documents
<input type="checkbox"/> reduction in the number of procedures	<input type="checkbox"/> increase in the number of procedures
<input type="checkbox"/> shorter time of solving the matter	<input type="checkbox"/> longer time of solving the matter
<input type="checkbox"/> other: _____	<input type="checkbox"/> other: _____
Regulatory burdens introduced are adjusted to electrification	<input type="checkbox"/> yes <input type="checkbox"/> no
Comments:	
9. Impact on the labour market	
10. Impact on other areas	
<input type="checkbox"/> environment	<input type="checkbox"/> demographics
<input type="checkbox"/> regional situation and development	<input type="checkbox"/> state possessions
<input type="checkbox"/> other: _____	<input type="checkbox"/> informatization <input type="checkbox"/> health
Impact details:	
11. The planned implementation of a legal instrument	
12. When and how the results of the bill are to be measures and what indicators are going to be used?	
13. Attachments (important source documents, research results, analysis etc.)	

regulatory burdens

new areas of impact

+ report of the consultation as a separate document

Most of RIA elements are repeated from RT, difference in level of detail

In case of complex projects (with many issues) IA only for the most important changes

## ***Ex-post evaluation (EIA)***



- **Comparison with the situation before change**
- **Cost and benefits:**
  - before (base scenario)
  - assumed
  - actual
- **Reasons of discrepancy**
- **Opinions and position of a relevant ministry**
- **Conclusions**



# Ex-post evaluation (EIA)



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OF  
ECONOMY

analysis of  
IO reduction

<b>Act title</b>		<b>Date</b>	
Leading ministry and collaborative ministries		Date of entry into force of the act	
Minister/secretary of state/Undersecretary of state responsible for the bill		Reason for evaluation (scroll down list): Zalecenie RM	
Merits-related patron's contact details			
EX-POST EVALUATION			
1. Scope of the Act			
2. Impact of the Act over subjected groups/entities			
Group	Size	Data source	Influence
(add/delete)			
3. Reasons of bringing the Act - comparative statement with regard to the situation before the change			
<i>ex-ante evaluation</i>		<i>ex-post evaluation</i>	
3.1 Established goals (expected results) of the Act		3.3 Degree of reaching the goals of the Act	
3.2 Means aimed to reach the goals of the Act planned (measures of intervention)		3.4 Evaluation of the means of reaching the goals of the Act	
4. The costs and benefits associated with the operation of the Act (established and effective, including the benefits and costs for actors not directly in terms of the impact of the Act)			
4.1 The situation prior to the entry into force of the Act (base scenario)		4.2 The benefits of the law established before its entry into force	
4.3 Costs associated with the operation of the Act established before its entry into force		4.4 The actual benefits from the operation of the Act	
4.5 The actual costs associated with the operation of the Act			
- the State budget, - Local government authorities and other public organizations - the competitiveness of the economy and entrepreneurship, including the functioning of entrepreneurs - family, citizens and households - labour market - Other		- the State budget, - Local government authorities and other public organizations - the competitiveness of the economy and entrepreneurship, including the functioning of entrepreneurs - family, citizens and households - labour market - Other	
- the State budget, - Local government authorities and other public organizations - the competitiveness of the economy and entrepreneurship, including the functioning of entrepreneurs - family, citizens and households - labour market - Other		- the State budget, - Local government authorities and other public organizations - the competitiveness of the economy and entrepreneurship, including the functioning of entrepreneurs - family, citizens and households - labour market - Other	
5. Evaluation of the effects using the indicators specified in the OSR/regulatory test			
5.1 Expected change in the value of the indicator		5.2 Change in the value of the indicator reached	
6. The causes of the differences between the expected and reached results (costs, benefits, the indicators' value)			

7. Evaluation of the information obligations resulting from the law							
1p.	Information obligation (IO) excluding editorial unit, i. e. no article	Possible way to reduce and the reasons for it					
		the repeal of the IO	to reduce the frequency of execution of IO	replace the paper made with electronic	a reduction in the required scope of information	simplification of form or posting a firm on the website	the use of the data from inside the organization or other institutions
8. Reviews reported in connection with the operation of the Act							
1p.	Entity submitting feedback/problem	Description	Member's of the Council of Ministers position				
Other sources of opinion about the Act (e.g., survey, Conference materials)							
9. Other conclusions of the current functioning of the Act							
10. Members' of the Council of Ministers recommendations for further action							
11. Attachments (important source documents, research results, analysis etc.)							

## RIA ex post is obligatory:

- at the request of the CoM or authorized body
- under conditions defined in RT and RIA ex ante

## Sources of information:

- application *Change the law with us*
- *Better Regulation Group* with business organisations

# Thank you

**Grzegorz Lang**

**Director of Economic Regulation Improvement Department  
Ministry of Economy  
Poland**

**email: [Grzegorz.Lang@mg.gov.pl](mailto:Grzegorz.Lang@mg.gov.pl)**